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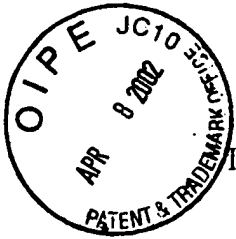
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:  
Richard E. Saffo, Sr.

Serial No.: 10/052,898

Filed: January 16, 2002

For: APPARATUS FOR LEVELING  
AND SMOOTHING OF  
CONCRETE

Examiner:

Art Unit: 3671

Paper No. 3

DISHONG LAW OFFICES  
765 Greenville Rd.  
Mason, NH 03048  
April 3, 2002

RECEIVED  
APR 24 2002  
TECHNOLOGY CENTER R3700

**CERTIFICATE OF MAILING under (37 CFR § 1.8)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Priority Mail with sufficient postage on the date shown below in an envelope addressed to: Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231

Kathleen Chapman  
Kathleen Chapman

April 3, 2002  
Date

Honorable Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

Transmitted herewith for filing is a response to Notice to File Missing Parts dated February 25, 2002 for application Ser. No. 10/052,898. Enclosed with this Certificate of Mailing are the following materials:

- ☒ Copy of Notice to File Missing Parts in two (2) pages;
- ☒ Declaration and Power of Attorney in two (2) pages;
- ☒ Check # 5515 in the amount of \$435 for the continuation utility patent application Serial # 10/052,898 filing fee and late charge;
- ☒ Information Disclosure Statement in one (1) pages;
- ☒ Information Disclosure Statement documents on one (1) 250 MB zip drive, five (5) documents, and
- ☒ Return receipt card.

RECEIVED  
APR 24 2002  
TECHNOLOGY CENTER #3700

**Applicant is a small entity.**

### FEE FOR CLAIMS

3. The fee for claims is calculated as follows:

	(Col. 1)	(Col. 2)	(Col. 3)	Small Entity RATE	ADDIT FEE	OR	Other Entity RATE	ADDIT FEE
	CLAIMS REMAIN	HIGHEST # PREV.	PRESENT EXTRA					
Total	19 <sup>1</sup>	Minus 20 <sup>2</sup>	= 0	X \$9 =	\$0.00		X \$18.00 =	\$0.00
Ind.	2	Minus 3 <sup>3</sup>	= 0	X \$42 =	\$0.00		X \$84.00 =	\$0.00
MD	0	0	= 0	X \$140 =	\$0.00		X \$280 =	\$0.00
Base filing fee				+\$370.00	\$370.00		+ \$740 =	\$0.00
Late fee				+\$65.00	\$65.00			
				Total	\$435.00	OR	Total	\$0.00

**Notes:**

<sup>1</sup>If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

<sup>2</sup>If the "HIGHEST # PREV." (highest number of claims previously paid for) is less than 20, enter "20".

<sup>3</sup>If the "HIGHEST # PREV." is less than 3, enter "3".

[a] ☒ No additional fee is required OR

[b] ☐ Total additional fee required is \$0.00.

4. **FEE PAYMENT**

☒ Attached is a check # 5515 in the sum of \$435.00.

☐ Charge Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_.

A duplicate of this transmittal is attached.

5. **FEE DEFICIENCY**

☐ If any additional extension fee is required, charge Acct. No. \_\_\_\_\_.

**AND/OR**

☐ If any additional fee for claims is required, charge Acct. No. \_\_\_\_\_.

6. ☒ Also enclosed are: Declaration and Power of Attorney documents.

7. ☒ Also enclosed is an Information Disclosure Statement and associated documents.

8. ☒ Also enclosed is a copy of the Notice to File Missing Parts.

9. ☒ Also enclosed is: A return receipt card.

Respectfully submitted,

Kathleen Chapman

Kathleen Chapman, Esq.  
Attorney for Applicant; Reg. No. 46,094  
DISHONG LAW OFFICES  
765 Greenville Rd.  
Mason, NH 03048

Apr. 13, 2002

Date

Voice: 603-878-4993; Fax: 775-218-4407  
e-mail: chapman1103@prexar.com

Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

**EXAMPLES OF FEES BEING ADJUSTED**

See [www.uspto.gov](http://www.uspto.gov) for complete FY 2003  
and FY-2004 Fee Schedules

\* Indicates  
fees remain  
at FY 2003  
amount

DESCRIPTION	FY 2003	FY 2004
Basic filing fee - Utility	\$750	\$770
Basic filing fee - Utility (Small Entity)	\$375	\$385
Independent claims in excess of three	\$84	\$86
Independent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18*
Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim	\$280	\$290
Multiple dependent claim (Small Entity)	\$140	\$145
Surcharge - Late filing fee	\$130	\$130
Surcharge - Late filing fee (Small Entity)	\$65	\$65
Design filing fee	\$330	\$340
Design filing fee (Small Entity)	\$165	\$170
Plant filing fee	\$520	\$530
Plant filing fee (Small Entity)	\$260	\$265
Reissue filing fee	\$750	\$770
Reissue filing fee (Small Entity)	\$375	\$385
Reissue independent claims	\$84	\$86
Reissue independent claims (Small Entity)	\$42	\$43
Reissue claims in excess of twenty	\$18	\$18*
Reissue claims in excess of twenty (Small Entity)	\$9	\$9*
Provisional application filing fee	\$160	\$160*
Provisional application filing fee (Small Entity)	\$80	\$80*
Surcharge - Late provisional filing fee	\$50	\$50*
Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*
Non-English Specification	\$130	\$130*
Extension - First month	\$110	\$110*
Extension - First month (Small Entity)	\$55	\$55*
Extension - Second month	\$410	\$420
Extension - Second month (Small Entity)	\$205	\$210
Extension - Third month	\$930	\$950
Extension - Third month (Small Entity)	\$465	\$475
Extension - Fourth month	\$1,450	\$1,480
Extension - Fourth month (Small Entity)	\$725	\$740
Extension - Fifth month	\$1,970	\$2,010
Extension - Fifth month (Small Entity)	\$985	\$1,005
Petition	\$130	\$130*
Processing returned checks	\$50	\$50*

For further fee information, please call  
(703-308-HELP)

Kathleen Chapman, Esq., fax: 775-218-4407, voice: 803-878-4993

**Dishong Law Offices**

# Fax

**To:** Ms. Joyce Riley

**From:** Kathleen Chapman, Esq.

**Fax:** 703-308-7751

**Pages:** 12

**Phone:** 703-308-5604

**Date:** 10/24/2003

**Re:** Serial # 10/052,898

**CC:**

☐ Urgent    ☒ For Review    ☒ Please Comment    ☒ Please Reply    ☐ Please Recycle

Ms. Riley,

Attached is the information I faxed to you on 10/7 along with the copy of the cancelled check that I received from my bank.

Thank you for your attention to this situation.

Sincerely, Kathy Chapman

803-878-4993 (Voice) 775-218-4407 (Fax) [chapman1103@prexar.com](mailto:chapman1103@prexar.com) (E-mail)

SO981-RAKE (CONT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: )  
Richard E. Saffo, Sr. )  
Serial No.: 10/052,898 )  
Filed: January 16, 2002 )  
For: APPARATUS FOR LEVELING )  
AND SMOOTHING OF )  
CONCRETE )

Examiner:

Art Unit: 3673

Paper No. 4

DISHONG LAW OFFICES  
765 Greenville Rd.  
Mason, NH 03048  
October 7, 2003

CERTIFICATE OF FACSIMILE under (37 CFR § 1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being sent by facsimile to Ms. Julie Riley, OIPE, 703-308-7751, on the date shown below.

*Kathleen Chapman*  
Kathleen Chapman

*OCTOBER 7, 2003*  
Date

*Joyce*  
Ms. ~~Julie~~ Riley  
OIPE  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Ms. Riley:

As per our telephone conversation of October 6, 2003, transmitted herewith is a duplicate copy of the response to the Notice to File Missing Parts dated February 25, 2002, for application Ser. No. 10/052,898, received in the USPTO on April 8, 2002. My records show that check # 5515 in the amount of \$435.00 cleared my bank on April 11, 2002. A copy of this transaction can be sent to you upon your request.

Respectfully submitted,

*Kathleen Chapman*  
Kathleen Chapman, Esq.  
Attorney for Applicant; Reg. No. 46,094  
DISHONG LAW OFFICES  
765 Greenville Rd., Mason, NH 30348

*OCTOBER 7, 2003*  
Date  
Voice: 603-878-4993; Fax: 775-218-4407  
e-mail: chapman1103@prexar.com

Continuation Filing Fee + Late Fee

LAW OFFICE OF KATHLEEN CHAPMAN, PLLC  
 PHONE (603) 878-4893  
 785 GREENVILLE ROAD  
 MASON, NH 03048

4-3-02

53-8182/-

DATE

PAY TO THE  
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Commissioner for Patents

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E (CONT)

Kathleen Chapman

1056896211

5585

The USPTO hereby acknowledges receipt  
 of the below-listed material related to

Atty. Dkt. #: 50981-RAUE (CONT)

Serial #: 10/052,878

Express Mail #: N/A

Check #: 5515 Amount: \$435.00

Filing fee: \$375

Extension fee: \$65

Issue fee:

Assignment fee:

Decl./POA:

Transmittal ( ) pages: 12 pages

U.S. Patent app. of ( ) pages:

( ) Claims:

Verified statement:

U.S. Trademark app. of ( ) pages:

Information Disclosure Statement in 1 page

Copy of Notice to file Missing Parts in two (2) pages

Information Disclosure Statement Documents, 5  
 patents in pdf format on 1 250 MB Zip drive

SMALLENTITY

already filed

**Back**

## Front

**Amount: 435.00**